## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 06005/39978

## PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| Sir:         |             |                                                                                  |  |  |  |  |
|--------------|-------------|----------------------------------------------------------------------------------|--|--|--|--|
| Transm       | itted here  | with for filing is the patent application of                                     |  |  |  |  |
| Inventor(s): |             | Cindy Scott, Robert Havekost, and Todd Reeves                                    |  |  |  |  |
| Title:       |             | Method and System for Integrated Alarms in a Process Control System              |  |  |  |  |
| 1.           | Туре о      | f Application                                                                    |  |  |  |  |
|              | $\boxtimes$ | This is a new application for a                                                  |  |  |  |  |
|              |             | utility patent.                                                                  |  |  |  |  |
|              |             | design patent.                                                                   |  |  |  |  |
|              |             | This is a continuation-in-part application of prior application no.              |  |  |  |  |
|              |             |                                                                                  |  |  |  |  |
| 2.           | Applica     | ation Papers Enclosed                                                            |  |  |  |  |
|              | 1           | Title Page                                                                       |  |  |  |  |
|              | 19          | Pages of Specification (excluding Claims, Abstract, Sequence Listing & Drawings) |  |  |  |  |
|              | 7           | Page(s) of Claims                                                                |  |  |  |  |
|              | 1           | Page(s) of Abstract                                                              |  |  |  |  |
|              | 5           | Sheet(s) of Drawings (Figs. 1 to 5)                                              |  |  |  |  |
|              |             |                                                                                  |  |  |  |  |
|              |             | Informal                                                                         |  |  |  |  |
|              | 0           | Page(s) of Sequence Listing                                                      |  |  |  |  |
|              |             | CERTIFICATION UNDER 37 CFR 1.10                                                  |  |  |  |  |

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on February 26, 2004, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 323 763 830 US.

Laura Frasher

3. Declaration or Oath

|    |         | $\boxtimes$ | Enclose     | :d          |             |                                                                                                                         |
|----|---------|-------------|-------------|-------------|-------------|-------------------------------------------------------------------------------------------------------------------------|
|    |         |             | $\boxtimes$ | Execute     | d by (che   | eck all applicable boxes)                                                                                               |
|    |         |             |             | $\boxtimes$ | Invento     | r(s)                                                                                                                    |
|    |         |             |             |             |             | epresentative of inventor(s) R 1.42 or 1.43)                                                                            |
|    |         |             |             |             |             | ventor or person showing a proprietary interest on behalf of r who refused to sign or cannot be reached                 |
|    |         |             |             |             |             | The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee. |
|    |         |             |             |             |             | igned attorney or agent is authorized to file this application (s). An executed declaration will follow.                |
| 4. | Small l | Entity St   | atus        |             |             |                                                                                                                         |
|    |         | Applica     | int claims  | small en    | itity statu | s. See 37 CFR 1.27.                                                                                                     |
|    |         |             | A small     | entity sta  | atement i   | s(are) attached.                                                                                                        |
| 5. | Additio | onal Pap    | ers Enclo   | sed         |             |                                                                                                                         |
|    |         |             | Prelimi     | nary Ame    | endment     |                                                                                                                         |
|    |         |             | Informa     | tion Disc   | losure St   | atement .                                                                                                               |
|    |         |             | Declara     | tion of B   | iological   | Deposit                                                                                                                 |
|    |         |             | _           | iter reada  | ble copy    | of sequence listing containing nucleotide and/or amino                                                                  |
|    |         |             | Microfi     | che comp    | outer prog  | gram                                                                                                                    |
|    |         |             | Associa     | te Power    | of Attorn   | ney .                                                                                                                   |
|    |         |             | Verified    | l translati | on of a n   | on-English patent application                                                                                           |
|    |         |             | An assiş    | gnment o    | f the inve  | ention                                                                                                                  |
|    |         |             | Request     | and Cert    | tification  | Under 35 U.S.C. 122(b)(2)(B)(i)                                                                                         |
|    |         | $\boxtimes$ | Return i    | receipt po  | stcard      |                                                                                                                         |
|    |         |             | Other       |             |             |                                                                                                                         |

| 6. F | Priority | <b>Applications</b> | Under | 35 | USC | 119 |
|------|----------|---------------------|-------|----|-----|-----|
|------|----------|---------------------|-------|----|-----|-----|

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

are attached.
will follow.

| COUNTRY | APPLICATION NO. | FILED |
|---------|-----------------|-------|
|         |                 |       |
|         |                 |       |
|         |                 |       |

# 7. Filing Fee Calculation (37 CFR 1.16)

A. Utility Application

|                                                |           |           | SMALL ENTITY |          | OTHER THAN A SMALL<br>ENTITY |           |
|------------------------------------------------|-----------|-----------|--------------|----------|------------------------------|-----------|
|                                                | NO. FILED | NO. EXTRA | RATE         | FEE      | RATE                         | FEE       |
| BASIC FEE                                      |           |           |              | \$385.00 |                              | \$770.00  |
| TOTAL                                          | 34 - 20   | = 14      | X 9=         | \$0.00   | X 18 =                       | \$252.00  |
| INDEP.                                         | 6 - 3     | = 3       | X 43 =       | \$0.00   | X 86 =                       | \$258.00  |
| First Presentation of Multiple Dependent Claim |           |           | + 145 =      | \$0.00   | + 290 =                      | \$0.00    |
|                                                |           | Filing F  | Fee:         | \$0.00   | OR                           | \$1280.00 |

| В. | □ .   | Design Application (\$170.00/\$340.00)                                                                                                                           | Filing Fee: | \$ |  |  |  |  |  |  |
|----|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|----|--|--|--|--|--|--|
| C  |       | Plant Application (\$265.00/\$530.00)                                                                                                                            | Filing Fee: | \$ |  |  |  |  |  |  |
| D. | Other | Other Fees                                                                                                                                                       |             |    |  |  |  |  |  |  |
|    |       | Recording Assignment [Fee — \$40.00 per assignment]                                                                                                              |             | \$ |  |  |  |  |  |  |
|    |       | Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee — \$130.00] | n           | \$ |  |  |  |  |  |  |
|    |       | Other                                                                                                                                                            |             | \$ |  |  |  |  |  |  |

Total Fees Enclosed \$1280.00

#### 8. Method of Payment of Fees

| $\boxtimes$ | Encl | Enclosed check in the amount of:                                                             |    |  |  |  |
|-------------|------|----------------------------------------------------------------------------------------------|----|--|--|--|
|             |      | Charge Deposit Account No. 13-2855 in the amount of: A copy of this Transmittal is enclosed. | \$ |  |  |  |
|             | Ö    | Not enclosed                                                                                 |    |  |  |  |

## 9. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

## 10. Correspondence Address

Customer No.: 04743

Respectfully submitted,

By:

Roger A. Heppermann

Reg. No: 37,641

MARSHALL, GERSTEIN & BORUN

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February 26, 2004